

DECLARATION FOR PATENT APPLICATION

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#13

As a below-named inventor(s), I/we hereby declare that:

My/Our residence(s), post office address(es) and citizenship(s) is/are as stated below next to my/our name(s).

I/We believe I/we am/are the original inventor, first and sole (if only one name is listed below) or the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed, and for which a patent is sought on the invention entitled:

ALTERNATE ENCAPSULATION PROCESS AND
PRODUCTS PRODUCED THEREFROM

the specification of which: (check one)

☐ is attached hereto.☒ was filed on June 6, 2000, as Serial No. 09/587,971,
and was amended on _____ 19____ (if applicable).

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined by 37 CFR § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below, and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Applications:

			Priority Claimed	
(Application No.)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____	_____	____/____/____	<input type="checkbox"/>	<input type="checkbox"/>
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	____/____/____	<input type="checkbox"/>	<input type="checkbox"/>
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes	No

We hereby appoint Gary M. Nath, Reg. No. 26,965; Harold L. Novick, Reg. No. 26,011; Todd L. Juneau, Reg. No. 40,669; Lee C. Heiman, Reg. No. 41,827; Jerald L. Meyer, Reg. No. 41,194; Joshua B. Goldberg, Reg. No. 44,126; David Milligan, Reg. No. 42,893; David R. Murphy, Reg. No. 22,751; Paul A. Sacher; as my attorneys to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith.

Direct Telephone Calls to:

Gary M. Nath
(202) 775-8383Send Correspondence to:
NATH & ASSOCIATES
Sixth Floor
1030 15th Street, N.W.
Washington, D.C. 20005 U.S.A.

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by 35 U.S.C. § 112, first paragraph, I/we acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(U.S. Application Serial No.)	(U.S. Filing Date)	(Status--patented, pending, abandoned)
_____	_____	_____
(U.S. Application Serial No.)	(U.S. Filing Date)	(Status--patented, pending, abandoned)
_____	_____	_____

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We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Subraman Rao Cherukuri

Inventor's Signature:  Date: 6-2-07

Residence: 10241 Britten Ford Dr., Vienna, VA 22182

Country of Citizenship: Citizen of the United States

Post Office Address: same as residence

Applicant: Cherukuri

Docket No. 24222

Serial No. 09/587,971

Filed: June 6, 2000

For: **ALTERNATE ENCAPSULATION PROCESS AND PRODUCTS PRODUCED THEREFROM**

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(F) AND 1.27(C) - SMALL BUSINESS CONCERN

I hereby declare that I am:

- () the owner of the small business concern identified below
(X) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Capricorn Pharma, Inc.

ADDRESS OF CONCERN: 6900 English Muffin Way, Unit A, Frederick, MD 21703

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled ALTERNATE ENCAPSULATION PROCESS AND PRODUCTS PRODUCED THEREFROM by inventor(s) S. R. Cherukuri described in

- () the specification filed herewith
(X) Application Serial No. 09/587,971 filed June 6, 2000
() Patent No. issued

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under

37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.278)

Full Name _____

Address _____

() Individual () Small Business () Nonprofit Organization

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(F) AND 1.27(C) - SMALL BUSINESS CONCERN

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

PRESIDENT & CEO

Name of Person Signing: S. Rao Cherukuri
Title of Person Signing: President & CEO
Address of Person Signing: 6900 English Muffin Way, Unit A, Frederick, MD
21703

Signature S. Rao Cherukuri Date 6-29-00

S. Rao Cherukuri